•Public Notice of the Ministry of Finance, Ministry of Education, Culture, Sports, Science and Technology, Ministry of Health, Labour and Welfare, Ministry of Agriculture, Forestry and Fisheries, Ministry of Economy, Trade and Industry, and Ministry of the Environment No. 1

The Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization are provided as follows.

May 18, 2017

Taro Aso, Minister of Finance

Hirokazu Matsuno, Minister of Education, Culture, Sports, Science and Technology Yasuhisa Shiozaki, Minister of Health, Labour and Welfare Yuji Yamamoto, Minister of Agriculture, Forestry and Fisheries Hiroshige Seko, Minister of Economy, Trade and Industry Koichi Yamamoto, Minister of the Environment

Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization

Chapter 1 General Provisions

No. 1 Purpose

The purpose of these Guidelines is to ensure the appropriate and smooth implementation of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization to the Convention on Biological Diversity (hereinafter referred to as the "Protocol") through taking measures concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization, and thereby contribute to the conservation and sustainable use of biological diversity.

No. 2 Definition

For the purpose of these Guidelines, the meanings of the terms listed in (1) to (8) below are as provided for in (1) to (8) below respectively.

- (1) "Genetic resources" means genetic material of actual or potential value, where genetic material is material of plant, animal, microbial or other origin containing functional units of heredity.
- (2) "Utilization of genetic resources" means to conduct research and development on the genetic and/or biochemical composition of genetic resources.
- (3) "Traditional knowledge associated with genetic resources" means knowledge related to the utilization of genetic resources among unique knowledge that has been long used according to traditions, customs, cultures, etc. in indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.
- (4) "Access and Benefit-sharing Clearing House" means the Access and Benefit-sharing Clearing House as provided for in Article 14, paragraph 1 of the Protocol.
- (5) "Provider country" means a party to the Protocol other than Japan providing genetic resources or traditional knowledge associated with genetic resources.
- (6) "Legislation in a provider country" means domestic legislation or regulatory requirements of the provider country on access to genetic resources or traditional knowledge associated with genetic resources and the sharing of benefits arising therefrom as provided for in Article 15, paragraph 1 or Article 16, paragraph 1 of the Protocol, which is made available to the Access and Benefit-sharing Clearing House in accordance with the provision of Article 14, paragraph 2 (a) of the Protocol.

- (7) "Permit or its equivalent" means a permit or a document equivalent thereto issued in accordance with the provision of Article 6, paragraph 3 (e) of the Protocol.
- (8) "Internationally recognized certificate of compliance" means a permit or its equivalent made available to the Access and Benefit-sharing Clearing House as an internationally recognized certificate of compliance as provided for in Article 17, paragraph 2 of the Protocol.
- No. 3 Scope of application
 - 1. Genetic resources, etc. to which the Protocol does not apply

These Guidelines do not apply to the following and other genetic resources to which the Protocol does not apply (meaning genetic resources that do not fall under the category of genetic resources or traditional knowledge associated with genetic resources, to which the Protocol applies; the same applies hereinafter).

- (1) Information concerning genetic resources, such as nucleic acid base sequences (excluding those that qualify as traditional knowledge associated with genetic resources)
- (2) Synthetic nucleic acids (limited to those not containing fragments derived from organisms)
- (3) Biochemical compounds that do not contain functional units of heredity
- (4) Human genetic resources
- (5) Genetic resources or traditional knowledge associated with genetic resources that were accessed from a provider country prior to the date on which the Protocol entered into force in Japan
- (6) Genetic resources that are generally sold for purposes other than the utilization of genetic resources, which have been purchased not for the purpose of utilization of genetic resources
- 2. Utilization of genetic resources to which the Protocol does not apply

These Guidelines do not apply to the utilization of genetic resources to which the International Treaty on Plant Genetic Resources for Food and Agriculture applies or to the utilization of other genetic resources to which the Protocol does not apply (meaning an act that does not constitute the utilization of genetic resources to which the Protocol applies).

Chapter 2 Measures for promoting compliance with legislation in provider countries

No. 1 Report concerning the lawful access to genetic resources

1. Report by acquirers

If a person has obtained access to genetic resources to which legislation in the provider country applies (excluding genetic resources, etc. to which the Protocol does not apply; the same applies hereinafter) and imported them into Japan (hereinafter referred to as an "acquirer") and an internationally recognized certificate of compliance concerning the said genetic resources has been posted on the Access and Benefit-sharing Clearing House, the acquirer is to submit a report using Form No. 1 stating the unique identifier of the internationally recognized certificate of compliance as information to prove that the said genetic resources were lawfully accessed, along with a copy of the said internationally recognized certificate of compliance (information that is likely to undermine the rights, competitive position, or other legitimate interests of the individual or corporation may be omitted; the same applies hereinafter), to the Minister of the Environment within six months from the date of the said posting; provided, however, this does not apply in any of the following cases:

(1) when the acquirer has submitted a report using Form No. 2 stating the following matters, instead of the unique identifier of the internationally recognized certificate of compliance, as information to prove that the said genetic resources were lawfully accessed (information that is likely to undermine the rights, competitive position, or other

legitimate interests of the individual or corporation may be omitted; the same applies hereinafter), along with a copy of the permit or its equivalent to the Minister of the Environment before the said internationally recognized certificate of compliance is posted on the Access and Benefit-sharing Clearing House:

- (i) Provider country;
- (ii) Institution that issued the permit or its equivalent;
- (iii) Date of issuance of the permit or its equivalent;
- (iv) Expiration date of the permit or its equivalent;
- (v) Provider;
- (vi) Genetic resources;
- (vii) Whether mutually agreed terms were established with the provider;
- (viii) Whether the purpose is commercial use or non-commercial use;
- (2) when the internationally recognized certificate of compliance concerning the permit or its equivalent has not been posted yet upon expiry of one year since the issuance of the said permit or its equivalent.
- 2. Human health emergencies
 - (1) The provisions of paragraph 1 do not apply to the access to genetic resources used for addressing situations that are deemed as emergencies as provided for under the International Health Regulations or human health emergencies. In this case, the acquirer is to submit a report using Form No. 1 along with a copy of the internationally recognized certificate of compliance to the Minister of the Environment within six months from the date on which the terms for deeming that the emergency has been settled are fulfilled.
 - (2) When it is difficult to determine the time of the occurrence and settlement of the emergency, the acquirer is to submit a report using Form No. 1 along with a copy of the internationally recognized certificate of compliance within one year from the date on which the genetic resources used for addressing the said emergency were accessed, regardless of the provision of (1) above.
- 3. Report by importers, etc.

A person who has received from another person genetic resources to which the legislation in the provider country applies and imported them into Japan (excluding acquirers; hereinafter referred to as an "importer") or a person who has received the said genetic resources in Japan (excluding acquirers and importers) may submit a report using Form No. 1 stating the unique identifier of the internationally recognized certificate of compliance, if the said person holds such identifier as information to prove that the said genetic resources were lawfully accessed, along with a copy of the internationally recognized certificate of compliance, or a report using Form No. 2, if the said person holds information to prove that the said genetic resources were lawfully accessed instead of the unique identifier before the internationally recognized certificate of compliance is posted on the Access and Benefit-sharing Clearing House, to the Minister of the Environment.

4. Provision of information by the Minister of the Environment to the Access and Benefitsharing Clearing House

- (1) The Minister of the Environment is to provide information reported using Form No. 1 in accordance with the provisions of paragraph 1, 2 or 3 to the Access and Benefit-sharing Clearing House. In this case, whether or not to provide information concerning the person who submitted the report is to be decided based on the said person's request.
- (2) The Minister of the Environment is to provide information reported using Form No. 2 in accordance with the provisions of paragraph 1 or 3, upon the request of the person who submitted the said report, to the Access and Benefit-sharing Clearing House. In this case, information to be provided is to be decided based on the said person's request.

- 5. Dissemination of information by the Minister of the Environment
 - (1) The Minister of the Environment is to post information reported in accordance with the provisions of 1, 2 or 3 on the website of the Ministry of the Environment upon the request of the person who submitted the said report. In this case, information to be posted is to be decided based on the said person's request.
 - (2) In addition to information as provided for in (1) above, the Minister of the Environment is to post other information necessary for the appropriate and smooth implementation of measures concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization on the website of the Ministry of the Environment.
- No. 2 Report concerning the lawful access to traditional knowledge associated with genetic resources

Among persons who are to submit a report in accordance with the provisions of paragraph 1, 2 or 3 of No. 1, those who obtained access to and imported traditional knowledge associated with genetic resources, to which the legislation in the provider country applies, with an intention to utilize it in combination with the genetic resources that are subject to the reporting obligation are to additionally report to this effect, by stating that the traditional knowledge associated with genetic resources was lawfully accessed, in the report using Form No. 1 or 2, when submitting the aforementioned report; provided, however, that this does not apply to cases falling under paragraph 1(2) of No. 1.

- No. 3 Encouragement of reporting
 - 1. Guidance and advice on reporting
 - (1) The Minister of the Environment is to urge a person who has not submitted a report within the period as provided for in paragraph 1 or 2 of No.1 to submit a report as provided for in paragraph 1 or 2 of No. 1, respectively. The Minister of the Environment and other competent ministers are to provide the acquirer with necessary guidance and advice concerning the said reporting as appropriate.
 - (2) The Minister of the Environment is to require a person who imported genetic resources as provided for in No. 2 but has not submitted a report within the period as provided for in paragraph 1 or 2 of No. 1 to submit a report as provided for in No. 2. In addition, the Minister of the Environment and other competent ministers are to provide the said person who imported genetic resources with necessary guidance and advice concerning the said reporting as appropriate.
 - 2. Publication of unique identifiers of internationally recognized certificates of compliance The Minister of the Environment is to publicize unique identifiers of internationally recognized certificates of compliance that do not include information concerning acquirers and to encourage reporting.
- No. 4 Cooperation concerning alleged violation of legislation in a provider country
 - 1 When a party to the Protocol other than Japan alleges a violation of legislation in the provider country, the Minister of the Environment is to urge the acquirers, importers, users of the genetic resources or traditional knowledge associated with genetic resources, and other persons who handle the genetic resources or traditional knowledge associated with genetic resources concerning the case for which the allegation is made to provide information on the violation of the legislation in the provider country in relation to the access to, import or utilization of, and other handling of the genetic resources or traditional knowledge associated with genetic resources they have, within the scope of parties' obligation for cooperation provided for in the Protocol as appropriate. In addition, the Minister of the Environment and other competent ministers are to provide the persons handling the said genetic resources or traditional knowledge associated with genetic resources or traditional knowledge associated with genetic resources or traditional for cooperation provided for in the protocol as appropriate. In addition, the Minister of the Environment and other competent ministers are to provide the persons handling the said genetic resources or traditional knowledge associated with genetic resources with necessary guidance and advice concerning the provision of information

related to the access to the genetic resources or traditional knowledge associated with genetic resources they have, as appropriate.

2 The Minister of the Environment is to provide information obtained through paragraph 1 to the party to the Protocol other than Japan that made the allegation, through the national focal point, etc. designated in accordance with Article 13, paragraph 1 of the Protocol, as appropriate.

No. 5 Request for the provision of relevant information related to the utilization of genetic resources, etc.

1. Request for the provision of relevant information related to the utilization of genetic resources

- (1) The Minister of the Environment, as a checkpoint as provided for in Article 17, paragraph 1 (a) of the Protocol, is to request a person who has stated that the one will use genetic resources on one's own in a report based on paragraph 1 of No. 1 to provide relevant information related to the utilization of genetic resources by means of using Form No. 3 (hereinafter referred to as "information on genetic resource utilization") after approximately five years have elapsed from the date on which the said report was submitted, as appropriate.
- (2) The Minister of the Environment is to re-urge a person who has not provided information on genetic resource utilization despite the request as provided for in (1) above to provide the said information. In addition, the Minister of the Environment and other competent ministers are to provide the said person who has not provided information on genetic resource utilization with necessary guidance and advice concerning the said provision of information, as appropriate.
- (3) Regardless of whether or not a request for the provision of information on genetic resource utilization as provided for in (1) has been made, a person who utilizes genetic resources and wishes to disseminate information on the utilization of genetic resources accessed in compliance with the legislation or regulatory requirements of the provider country may report information to prove that the genetic resources were lawfully accessed and information on genetic resource utilization to the Minister of the Environment in the form of reports using Form No. 1 or Form No. 2 and Form No. 3.
- 2. Use of information on genetic resource utilization

The Minister of the Environment is to provide information on genetic resource utilization provided in accordance with paragraph 1 to the Access and Benefit-sharing Clearing House and post the said information on the website of the Ministry of the Environment, according to the request of the person who provided the said information. In this case, the information to be provided or posted is to be decided based on the said person's request. Furthermore, the Minister of the Environment and other competent ministers are to promote awareness in a focused and effective manner concerning compliance with the legislation in the provider country according to the actual status of the utilization of genetic resources as understood through the said information.

- Chapter 3 Encouragement concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization
 - No. 1 Fair and equitable sharing of benefits
 - 1 If a person is to provide genetic resources existing in Japan for utilization and seek the sharing of benefits arising from their utilization, the said person is encouraged to conclude a contract on the said provision that ensures the fair and equitable sharing of the said benefits.
 - 2 If a person is to utilize genetic resources existing in Japan and is requested to share benefits arising from their utilization, the said person is encouraged to conclude a contract on the

said access for utilization that ensures the fair and equitable sharing of the said benefits.

- 3 If a person who utilizes genetic resources or traditional knowledge associated with genetic resources to which the legislation in the provider country is applied in their access and is requested to share benefits arising from their utilization, the said person is encouraged to conclude a contract on the said access for utilization that ensures the fair and equitable sharing of the said benefits.
- No. 2 Allocation of benefits arising from the utilization of genetic resources to the conservation and sustainable use of biological diversity

Any person who provides genetic resources existing in Japan for utilization, who utilizes genetic resources existing in Japan, and who utilizes genetic resources to which the legislation in the provider country is applied in their access is encouraged to allocate benefits arising from the said utilization to the conservation and sustainable use of biological diversity.

No. 3 Sharing of information concerning the implementation of a concluded contract through its provisions

Any person who provides genetic resources existing in Japan for utilization, who utilizes genetic resources existing in Japan, and who utilizes genetic resources to which the legislation in the provider country is applied in their access is encouraged to include provisions on obligation of reporting concerning the implementation of the terms and other provisions for the sharing of information in the mutually agreed terms established under the contract the one concludes.

No. 4 Development of model contractual clauses, etc.

Organizations including that of the industries related to the utilization of genetic resources are to make efforts to develop and update sectoral and cross-sectoral model contractual clauses for contracts concerning the access to genetic resources for utilization, in light of the actual condition of the industry, etc. and to promote the use of the said clauses.

No. 5 Codes of conduct, guidelines and best practices or standards

Organizations including that of the industries related to the utilization of genetic resources are to make efforts to develop and update voluntary codes of conduct, guidelines and best practices or standards concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization, in light of the actual conditions of the industry, etc., and to promote the use of the said codes of conduct, etc.

Chapter 4 Provision of access to genetic resources existing in Japan

In accordance with Article 6, the provision of paragraph 1 of the Protocol, which allows for exceptional determination to be made by the Parties with regard to adoption of the prior informed consent, the prior informed consent of the Government of Japan as provided for in paragraph 1 of the said Article is not to be required for the provision of access to genetic resources existing in Japan.

Chapter 5 Issuance of documents concerning the acquisition of genetic resources in Japan

When an incorporated administrative agency or other agency that the competent ministers deem appropriate is to issue a document to show that the genetic resources have been acquired in Japan so as to ensure appropriate and smooth implementation of the provision of access to genetic resources existing in Japan and the fair and equitable sharing of benefits arising from their utilization, the competent ministers are encouraged to provide the said agency with technical advice or information, to carry out coordination and liaise with relevant ministries and agencies, and to take other necessary measures.

Chapter 6 Competent ministers

The competent ministers referred to in paragraph 1(1) and (2) of No. 3, paragraph 1 of No. 4, paragraph 1(2) and 2 of No.5, Chapter 2, and the preceding chapter are the Minister of Finance, Minister of Education, Culture, Sports, Science and Technology, Minister of Health, Labour and

Welfare, Minister of Agriculture, Forestry and Fisheries, Minister of Economy, Trade and Industry, or Minister of the Environment.

Supplementary Provisions

(Effective Date)

1. This public notice is to come into effect as of the day on which the Protocol enters into force in Japan.

(Revision)

2. This public notice is to be revised as necessary, taking into account changes in social circumstances, etc. concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization.

(Reconsideration of measures concerning the provision of access to genetic resources existing in Japan)

3. The need for developing laws and regulations concerning the provision of access to genetic resources existing in Japan based on Article 6, paragraph 1 of the Protocol is to be further considered within five years from the date on which this public notice comes into effect, taking into account changes in social circumstances, etc. concerning access to genetic resources and the fair and equitable sharing of benefits arising from their utilization, and necessary measures are to be taken based on the results of the said consideration as appropriate.

Form No. 1 (related to Chapter 2, No. 1 and No. 2 or No. 5, 1(3))

Report Concerning Access to Genetic Resources

Date:

To the Minister of the Environment

	Address:
Reporter	Name:
	Tel:

[Seal]

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I hereby make a report on the access to genetic resources in accordance with the provisions of Chapter 2, No. 1 and No.2 or No. 5, 1(3) of the Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization.

1. Matters concerning the lawful access to genetic resources

- (1) Unique identifier of the internationally recognized certificate of compliance
- (2) If you obtained access to and imported traditional knowledge associated with the said genetic resources with an intention to utilize it in combination with the said genetic resources
- □ The said knowledge was accessed based on a prior informed consent or approval and involvement of indigenous or local communities.
- □ The said knowledge was accessed by establishing mutually agreed terms with indigenous or local communities.
- 2. Matters concerning the utilization of genetic resources (research and development)
 - a) \Box The genetic resources will be utilized by the reporter him/herself.
 - b) \Box The genetic resources will be utilized by a person who receives them from the reporter.
 - c) \Box Others (
- 3. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc.
- (1) Access and Benefit-sharing Clearing House
- □ Information concerning the reporter
- (2) Website of the Ministry of the Environment
- (
- 4. Category of the report
 - a) Report based on Chapter 2, No. 1, 1 (report by acquirers)
 - b) 🗆 Report based on Chapter 2, No. 1, 2(1) (report after the settlement of a human health emergency)
 - c) □ Report based on Chapter 2, No. 1, 2(2) (report in a case when it is difficult to determine the time of the occurrence and settlement of a human health emergency)
 - d) 🗆 Report based on Chapter 2, No. 1, 3 (voluntary report by importers, etc.)
 - e) 🗆 Report based on Chapter 2, No. 5, 1(3) (voluntary report by users of genetic resources)

Notes

- 1. If the reporter is a corporation, fill in the name of the corporation and the name of the representative in the "name of reporter" column and the location of the principal place of business in the "address of reporter" column.
- 2. The name of reporter or the name of corporation and name of its representative must be also stated in English.
- 3. The description of name (in the case of a corporation, name of its representative) and seal may be substituted by the

signature of the reporter (in the case of a corporation, its representative).

- 4. Check the applicable box for "1(2) If you accessed and imported traditional knowledge associated with the said genetic resources with an intention to use it in combination with the said genetic resources".
- 5. Check the applicable box (multiple answers allowed) for "2. Matters concerning the utilization of genetic resources (research and development)." You can also state information concerning future plans.
- 6. Check the box for "3. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc." if you do not wish to provide information concerning the reporter, among information concerning the access to genetic resources, to the Access and Benefit-sharing Clearing House. In addition, describe specific information that you do not wish to be posted on the website of the Ministry of the Environment, among information concerning the access to genetic resources.
- 7. Check the applicable box for "4. Category of the report."
- 8. Attach a copy of the internationally recognized certificate of compliance. However, information that is likely to undermine the rights, competitive position, or other legitimate interests of the individual or corporation may be omitted.
- 9. This report must be printed on A4 size paper as defined by the Japanese Industrial Standards.

Form No. 2 (related to Chapter 2, No. 1, 1(1) or 3 and No. 2 or No. 5, 1(3))

Report Based on Permit or Its Equivalent Concerning Access to Genetic Resources

Date:

[Seal]

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To the Minister of the Environment

	Address:
Reporter	Name:
	Tel:

I hereby make a report on the access to genetic resources in accordance with the provisions of Chapter 2, No. 1, 1(1) or 3 and No.2 or No. 5, 1(3) of the Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization.

1. Matters concerning the lawful access to genetic resources

(1) Information to prove that the genetic resources were lawfully accessed instead of the unique identifier of the internationally recognized certificate of compliance

(i) Provider country	
(ii) Authority that issued the permit or its equivalent	
(iii) Date of issuance of the permit or its equivalent	
(iv) Expiration date of the permit or its equivalent	
(v) Provider	
(vi) Genetic resources	
(vii) Whether mutually agreed terms were established	
with the provider	
(viii) Whether the purpose is commercial use or non-	
commercial use	

- (2) If you obtained access to and imported traditional knowledge associated with the said genetic resources with an intention to utilize it in combination with the said genetic resources
- □ The said knowledge was accessed based on a prior informed consent or approval and involvement of indigenous or local communities.
- □ The said knowledge was accessed by establishing mutually agreed terms with indigenous or local communities.
- 2. Matters concerning the utilization of genetic resources (research and development)
 - a) \Box The genetic resources will be utilized by the reporter.
 - b) \Box The genetic resources will be utilized by a person who receives them from the reporter.
 - c) \Box Others (
- 3. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc.
- (1) Access and Benefit-sharing Clearing House
- () (2) Website of the Ministry of the Environment ()
- 4. Category of the report

- a) \Box Report based on Chapter 2, No. 1, 1(1) (report by acquirers)
- b) 🗆 Report based on Chapter 2, No. 1, 3 (voluntary report by importers, etc.)
- c) 🗆 Report based on Chapter 2, No. 5, 1(3) (voluntary report by users of genetic resources)

Notes

- 1. If the reporter is a corporation, fill in the name of the corporation and the name of its representative in the "name of reporter" column and the location of the principal place of business in the "address of reporter" column.
- 2. The name of the reporter or the name of the corporation and the name of its representative must be also stated in English.
- 3. The description of the name (in the case of a corporation, name of its representative) and the seal may be substituted by the signature of the reporter (in the case of a corporation, its representative).
- 4. As for "(vi) Genetic resources" in 1(1), describe the scientific name of the genetic resources, if it is known. "(ii) Authority that issued the permit or its equivalent" and "(v) Provider" must be also stated in English.
- 5. Check the applicable box for "1(2) If you accessed and imported traditional knowledge associated with the said genetic resources with an intention to use it in combination with the said genetic resources".
- 6. Check the applicable box (multiple answers allowed) for "2. Matters concerning the utilization of genetic resources (research and development)." You can also state information concerning future plans.
- 7. As for "3. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc.," describe specific information that you do not wish to be provided to the Access and Benefit-sharing Clearing House or posted on the website of the Ministry of the Environment, among information concerning the access to genetic resources.
- 8. Check the applicable box for "4. Category of the report."
- 9. Attach a copy of the permit or its equivalent. However, information that is likely to undermine the rights, competitive position, or other legitimate interests of the individual or corporation may be omitted.
- 10. This report must be printed on A4 size paper as defined by the Japanese Industrial Standards.

Form No. 3 (related to Chapter 2, No. 5, 1(1) or (3))

Report Concerning Information Related to Utilization of Genetic Resources Date:

To the Minister of the Environment

	Address:	
Reporter	Name:	[Seal]
	Tel:	

I hereby make a report on information related to the utilization of genetic resources in accordance with the provisions of Chapter 2, No. 5, 1(1) or (3) of the Guidelines on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization.

1. Genetic resources concerning the report		
()	
2. Situation of the utilization of genetic resources		
a) \Box The genetic resources are currently in use.		
b)		
c) \Box Other ()	
3. Area of the utilization of genetic resources		
a) \Box Cosmetics		
b) \Box Pharmaceuticals		
c) \Box Food and beverage		
d) \Box Plant breeding		
e) Development of other products and varieties (area:)	
f) \Box Research for a non-commercial purpose		
g) \Box Other ()	
4. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc.		
(1) Access and Benefit-sharing Clearing House		
()	
(2) Website of the Ministry of the Environment		
()	

- 5. Category of the report
 - a) \Box Report based on Chapter 2, No. 5, 1(1) (report by acquirers)
 - b) 🗆 Report based on Chapter 2, No. 5, 1(3) (voluntary report by users of genetic resources)

Notes

- 1. If the reporter is a corporation, fill in the name of the corporation and the name of its representative in the "name of reporter" column and the location of the principal place of business in the "address of reporter" column.
- 2. The name of the reporter or the name of the corporation and the name of its representative must be also stated in English.
- 3. The description of the name (in the case of a corporation, name of its representative) and the seal may be substituted

by the signature of the reporter (in the case of a corporation, its representative).

- 4. As for "1. Genetic resources concerning the report," describe the scientific name of the genetic resources subject to reporting, if it is known. If a report using Form No. 1 has been submitted, you may also describe the unique identifier of the internationally recognized certificate of compliance.
- 5. "2. Situation of the utilization of genetic resources" refers to the present or past state of being in any of the phases of research, development, innovation, pre-commercialization, commercialization, etc. Check the applicable box from a), b), or c). If you check c), describe the specific situation. If the genetic resources have been disposed of and have not been in use, state to that effect.
- 6. As for "3. Area of the utilization of genetic resources," check the applicable box (multiple answers allowed) if you chose a) or b) in 2.
- 7. As for "4. Information you do not wish to provide to the Access and Benefit-sharing Clearing House, etc.," describe specific information that you do not wish to be provided to the Access and Benefit-sharing Clearing House or posted on the website of the Ministry of the Environment, among information concerning the utilization of genetic resources.
- 8. Check the applicable box for "5. Category of the report."
- 9. This report must be printed on A4 size paper as defined by the Japanese Industrial Standards.